

Online Submission Adviser Charge

Instruction form

This form cannot be used if the Bond is being applied for in conjunction with a Wrap Platform.

How to fill out this form

Please use black ink and write in CAPITAL LETTERS or tick as appropriate.

If you make a mistake, cross it out and initial the change. Do not use correction fluid – it will invalidate this application.

About this form

You must complete this form if you want us to pay a Set-up and/or Ongoing Adviser Charge(s) to your adviser in relation to your application for a Prudential International product, and where the application is being submitted online. Please return your fully completed form to **Prudential International Assurance plc, Stirling FK9 4UE**.

Proposal number (if known)

First Applicant surname

The Company

Prudential International Assurance plc, UK Branch

First Applicant date of birth

D	D	M	M	Y	Y	Y	Y
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Financial Adviser case reference no.

The Product

Prudential Onshore Portfolio Bond

For your own benefit and protection, you should carefully read the documentation provided before signing this form. You should also carefully read any further documentation we send you in the future. If there is anything you don't understand, please ask us for further information.

This Declaration has been completed as part of my online application for the Product. I have been supplied with a Key Features Document.

I understand that when this Declaration is complete, it will be sent to Prudential International along with any other relevant documents.

Set up Adviser Charge

Please make sure that Box A minus Box B is equal to the amount in Box C.

A	My/Our payment	£
B	Set-up Adviser Charge	£
C	Amount to be invested (my/our premium)	£

Adviser Limits

We have limits which set out the maximum level of Adviser Charging which we will facilitate.

These are:

Set-up Adviser Charge: 5% of the single premium or £20,000 – whichever is lower.

I agree that the Set-up Adviser Charge will be deducted from my payment and it will not be included in the amount invested. I will check the Initial Investment details on the Contract Schedule that Prudential International will send to the First Applicant. If there are any errors or omissions, I will notify Prudential International, otherwise they will be deemed correct.

I agree to the Set-up Adviser Charge instructions and my commitments as detailed on my online application and below. If there is any discrepancy, we will pay the amount specified in Box B to your adviser and we will invest the net amount of Box A minus Box B into your Bond.

Ongoing Adviser Charge

You may choose to use this Bond to cover the cost of financial advice provided for ongoing advice relating to this Bond. You can also select withdrawals (regular and/or one-off) from your Bond. If you do so, and the combined total of withdrawals and any Ongoing Adviser Charges in any Bond year exceeds the 5% tax deferred allowance (see the Key Features Document for details), then you may be liable to an Income Tax Charge. It may also affect your entitlement to personal income tax allowances and/or certain tax credits. If you want to select Ongoing Adviser Charges please complete the following below.

If your Bond is to be written in a trust, it is the responsibility of all the Trustees to agree the Ongoing Adviser Charges. If you are using a Gift Trust or Discounted Gift Trust then Trustees must use the "Adviser Charge Instruction Form: PIPF10095" to authorise Ongoing Adviser Charges.

Ongoing Adviser Charges

Select ONE option only. Please indicate how you would like the Ongoing Adviser Charges agreed for ongoing advice to be made.

% p.a. of your total premium invested or

% p.a. of your total Bond value or

£ a fixed monetary amount annually

Adviser Charging Limits

We have limits which set out the maximum level of Adviser Charging which we will facilitate.

These are:

Set-up Adviser Charge: 5% of the single premium or £20,000 – whichever is lower.

Ongoing Adviser Charge: 1% of the fund value.

Frequency of Ongoing Advisor Charge payments

Select ONE option only. Please indicate the frequency of payment of the Ongoing Adviser Charges selected above.

Monthly Every 2 months Every 3 months Every 4 months Annually

Declarations

I authorise Prudential International to act upon my instruction regarding the facilitation of a Set-up and/or Ongoing Adviser Charge(s).

I also understand that Prudential International shall not be required to carry out such instruction (including any permitted by my adviser firm) where it conflicts with any applicable law or regulation and/or where it is inconsistent with Prudential International's Adviser Charge administration capability.

I understand that where there is a difference between the Adviser Charge Instruction and the Adviser Charges agreed with my adviser firm, Prudential International will only facilitate payment in accordance with my Adviser Charge Instruction.

I confirm that the Adviser Charge(s) specified in this instruction form is inclusive of any VAT due to my financial adviser at the prevailing rate from time to time.

I understand that if the level of VAT applicable within the Ongoing Adviser Charge changes (including where previously VAT free Adviser Charges become subject to VAT) Prudential will only alter my Ongoing

Adviser Charge following a new Adviser Charge Instruction or instruction from my adviser firm which sets out the new level of charges to be deducted.

I understand that, with the exception of changes in the level of VAT, the Ongoing Adviser Charge may not be increased without my explicit authority.

I agree that the Ongoing Adviser Charge may be reduced or stopped by Prudential or my adviser firm without my explicit authority.

I agree that where my adviser firm arranges for the services I have agreed with them to be provided by another adviser firm, I authorise Prudential to amend my Ongoing Adviser Charge Instructions to pay the Adviser Charges at the existing agreed level to the new adviser firm, except where they conflict with any applicable law, regulation and/or HMRC practice and/or where they are inconsistent with Prudential's Adviser Charge administration capability.

I confirm that I have discussed with my financial adviser the tax implications of my investment being net of any Set-up Adviser Charges.

Declarations – continued

I confirm that I have discussed with my financial adviser the tax implications of having my Ongoing Adviser Charges deducted from the contract. I understand that deduction of any Ongoing Adviser Charges will be treated as withdrawals from the Bond.

Signature(s) First Applicant

Full name (Block capitals)

Signature of applicant

Date signed

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Second Applicant

Full name (Block capitals)

Signature of applicant

Date signed

D	D	M	M	Y	Y	Y	Y
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Third Applicant

Full name (Block capitals)

Signature of applicant

Date signed

D	D	M	M	Y	Y	Y	Y
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Fourth Applicant

Full name (Block capitals)

Signature of applicant

Date signed

D	D	M	M	Y	Y	Y	Y
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If there are more applicants please photocopy this page and submit with this form.

pru.co.uk

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